



#### Propagating Uncertainty Across Cascaded Medical Imaging Tasks For Improved Deep Learning Inference

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UNSURE 2019: Uncertainty for Safe Utilization of Machine Learning in Medical Imaging



• Medical Image analysis pipeline performs sequence of inference task before the task of interest



- Medical Image analysis pipeline performs sequence of inference tasks before the task of interest
- Ex. Multiple Sclerosis (MS) disease activity prediction <sup>1</sup>







<sup>1</sup> Sepahvand et al. "CNN Prediction of Future Disease Activity for Multiple Sclerosis Patients from Baseline MRI and Lesion Labels.", Brainlesion 2018. <sup>2</sup> Fan et al., "Adversarial learning for mono-or multi-modal registration.", Medical image analysis 2019.

<sup>3</sup> Kleesiek et al. "Deep MRI brain extraction: a 3D convolutional neural network for skull stripping.", NeuroImage 2016.

<sup>4</sup> Nair et al., "Exploring uncertainty measures in deep networks for multiple sclerosis lesion detection and segmentation.", Medical Image Analysis 2019.



- Deep Learning based solutions provide only deterministic output
- Errors can accumulate over sequence of tasks
- This can hinder downstream task

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<sup>&</sup>lt;sup>4</sup> Nair et al., "Exploring uncertainty measures in deep networks for multiple sclerosis lesion detection and segmentation.", Medical Image Analysis 2019.



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• MS T2 lesion segmentation using Bayesian U-Net (BU-Net)<sup>4</sup>



<sup>4</sup> Nair et al., "Exploring uncertainty measures in deep networks for multiple sclerosis lesion detection and segmentation.", Medical Image Analysis 2019. (3)



(4)

#### Introduction

- MS T2 lesion segmentation using Bayesian U-Net (BU-Net)<sup>4</sup>
  - $\circ$   $\;$  Uncertainty Estimation using Monte-Carlo Dropout (MC-Dropout)  $^5$





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Lesion



- Bayesian Deep Learning provides uncertainty estimation
  - $\circ$  Monte-Carlo (MC) Dropout  $^5$
  - Variational Dropout <sup>6</sup>
  - Probabilistic U-Net<sup>7</sup>
  - $\circ \quad \text{Deep Ensemble}\,{}^8$
  - o ...

<sup>5</sup> Gal and Ghahramani, "Dropout as a bayesian approximation: Representing model uncertainty in deep learning.", ICML 2016.

- <sup>6</sup> Kingma et al., "Variational dropout and the local reparameterization trick.", NeurIPS 2015.
- <sup>7</sup> Kohl et al., "A probabilistic u-net for segmentation of ambiguous images.", NeurIPS 2018.
- <sup>8</sup> Lakshminarayanan et al., "Simple and scalable predictive uncertainty estimation using deep ensembles.", NeurIPS 2017.



- Applied to different medical image analysis context contexts
  - $\circ$  MS T2 lesion segmentation and detection  $^4$
  - $\circ$  Lung cancer lesion segmentation <sup>9</sup>
  - Modality Synthesis <sup>10</sup>
  - dMRI Super-Resolution <sup>11</sup>
  - $\circ$  Brain structure segmentation <sup>12</sup>
  - $\circ$  MR registration <sup>13</sup>
  - Diabetic Ratinopathy Screening <sup>14</sup>
  - o ...

- <sup>9</sup> Hu et al., "Supervised uncertainty quantification for segmentation with multiple annotations.", MICCAI 2019.
- <sup>10</sup> Mehta et al., "RS-Net: Regression-Segmentation 3D CNN for Synthesis of Full Resolution Missing Brain MRI in the Presence of Tumours.", SASHIMI 2018.
- <sup>11</sup> Tanno et al., "Bayesian Image Quality Transfer with CNNs: Exploring Uncertainty in dMRI Super-Resolution.", MICCAI 2017.
- <sup>12</sup> Roy et al. "Bayesian QuickNAT: Model uncertainty in deep whole-brain segmentation for structure-wise quality control.", NeuroImage 2019.
- <sup>13</sup> Dalca et al., "Unsupervised Learning of Probabilistic Diffeomorphic Registration for Images and Surfaces.", Medical Image Analysis 2019.
- <sup>14</sup> Leibig et al. "Leveraging uncertainty information from deep neural networks for disease detection.", Scientific reports 2017

<sup>&</sup>lt;sup>4</sup> Nair et al., "Exploring uncertainty measures in deep networks for multiple sclerosis lesion detection and segmentation.", Medical Image Analysis 2019



- Applied to different medical image analysis context contexts
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  - o ...
- Papers report that
  - $\circ$  Areas where network is prone to error have higher uncertainty <sup>10,11,13</sup>
  - $\circ$  Improved performance when the network output is evaluated on its most certain predictions <sup>4, 14</sup>

<sup>&</sup>lt;sup>4</sup> Nair et al., "Exploring uncertainty measures in deep networks for multiple sclerosis lesion detection and segmentation.", Medical Image Analysis 2019

<sup>&</sup>lt;sup>9</sup> Hu et al., "Supervised uncertainty quantification for segmentation with multiple annotations.", MICCAI 2019.

<sup>&</sup>lt;sup>10</sup> Mehta et al., "RS-Net: Regression-Segmentation 3D CNN for Synthesis of Full Resolution Missing Brain MRI in the Presence of Tumours.", SASHIMI 2018.

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<sup>&</sup>lt;sup>14</sup> Leibig et al. "Leveraging uncertainty information from deep neural networks for disease detection.", Scientific reports 2017

Can we use this uncertainty to improve downstream task?



### **Proposed Framework**

• Leveraging Uncertainty for improved inference in cascaded medical image analysis task





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• Leveraging Uncertainty for improved inference in cascaded medical image analysis task





• Task: Accurate MS T2 lesion segmentation/detection







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## MS T2 Lesion Segmentation/Detection Pipeline

- Task: Accurate MS T2 lesion segmentation/detection
- Task-1 Network: Bayesian U-Net (BU-Net)<sup>4</sup> for lesion segmentation
- Task-2 Network: 3D U-Net<sup>15</sup> for segmentation
- MC-Dropout <sup>5</sup> to estimate uncertainty in BU-Net



- <sup>4</sup> Nair et al., "Exploring uncertainty measures in deep networks for multiple sclerosis lesion detection and segmentation.", Medical Image Analysis 2019.
- <sup>5</sup> Gal and Ghahramani, "Dropout as a bayesian approximation: Representing model uncertainty in deep learning.", ICML 2016.
- <sup>15</sup> Cicek et al., "3D U-Net: learning dense volumetric segmentation from sparse annotation.", MICCAI 2016.



- Dataset
  - Proprietary multi-site, multi-scanner patient MRI from 2 clinical trials of patients with relapsing-remitting MS (RRMS)
  - 5800 multi-modal MRI (T1,T2, FLAIR, PD)
  - Expert T2 lesion labels
    - 40% of the available data used to train/validate BU-Net
    - 50% of the remaining to train 3D U-Net
    - 10% to test 3D U-Net



(a) T1w

(b) T2w

(c) FLAIR

(d) PDW

(e) Expert labels



#### • Evaluation Metric

- $\circ$  Accurate detection of MS T2 lesion is of interest
- Segmentation converted into lesion detection with connected component analysis
- $\circ$  Lesions divided into 3 categories based on size.
  - Small (3-10 voxels) --- 40% of total lesions are small
  - Medium (11-50 voxels)
  - Large (50+ voxels)
- Receiver operating characteristic (ROC) curves for each lesion size and for all lesions combined
  - Area under the curve (AUC) of ROC curve
  - True Positive Rate (TPR) at False detection rate (FDR) of 0.2



- Baseline-1
  - No Task-1 Network (BU-Net)





#### • Baseline-2

• Only inference from Task-1 Network (BU-Net) is propagated to Task-2 Network (3D U-Net)





• Quantitative Results





• Quantitative Results







• Qualitative Results





• Task: Accurate multi-class tumour segmentation in case of missing modality





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## **Brain Tumour Segmentation Pipeline**

- Task: Accurate multi-class tumour segmentation in case of missing modality
- Task-1 Network: Regression-Segmentation Network (RS-Net)<sup>10</sup> for modality synthesis
- Task-2 Network: 3D U-Net<sup>15</sup> for multi-class brain tumour segmentation
- MC-Dropout <sup>5</sup> to estimate uncertainty in RS-Net



<sup>10</sup> Mehta et al., "RS-Net: Regression-Segmentation 3D CNN for Synthesis of Full Resolution Missing Brain MRI in the Presence of Tumours.", SASHIMI 2018.

- <sup>5</sup> Gal and Ghahramani, "Dropout as a bayesian approximation: Representing model uncertainty in deep learning.", ICML 2016.
- <sup>15</sup> Cicek et al., "3D U-Net: learning dense volumetric segmentation from sparse annotation.", MICCAI 2016.



#### • Dataset

- $\circ$  <br/>Brain Tumour Segmentation (BraTS) 2018  $^{16}$  challenge dataset
- Multi-class tumour segmentation ground truth
  - Edema
  - Enhancing Tumour
  - Non-enhancing core
- Multi-modal MRI (T1, T2, FLAIR, and T1ce)
  - BraTS 2018 Training set to train and validate RS-Net and 3D U-Net (285 patients)
  - BraTS 2018 Validation set (held-out) to test 3D U-Net (66 patients)



<sup>16</sup> S. Bakas, et al.: "Identifying the best machine learning algorithms for brain tumor segmentation, progression assessment, and overall survival prediction in the BRATS challenge", arXiv preprint arXiv:1811.02629 (2018)



#### • Evaluation Metric <sup>16</sup>

- Dice scores for three different tumour subtypes:
  - enhancing tumour (DE
  - whole tumour (DT □ ■ )
  - tumour core (DC ] )

$$Dice(G,P) = \frac{2|GP|}{|G|+|P|}$$

where |G| denotes the number of positive elements in the binary segmentation G and |GP| is the number of shared positive elements by G and P. Dice  $\in [0, 1]$ . A higher Dice value indicates a better segmentation performance.



<sup>16</sup> S. Bakas, et al.: "Identifying the best machine learning algorithms for brain tumor segmentation, progression assessment, and overall survival prediction in the BRATS challenge", arXiv preprint arXiv:1811.02629 (2018)



- Baseline-1
  - No Task-1 Network (RS-Net): No synthesis of missing modality





- Baseline-2
  - Only inference from Task-1 Network (RS-Net) is propagated to Task-2 Network (3D U-Net)



• Uncertainty in Synthesis (RS-Net)<sup>10</sup>



<sup>10</sup> Mehta et al., "RS-Net: Regression-Segmentation 3D CNN for Synthesis of Full Resolution Missing Brain MRI in the Presence of Tumours.", SASHIMI 2018.



• Quantitative Results

	T1ce synthesis			
	DT	DC	DE	
real(3) sequences	87.17	50.25	26.89	
real(3)+synthesized sequences	86.72	52.80	27.35	
real(3)+synthesized+uncertainty	88.20	57.29*	32.86*	

(\*) indicates statistically significant (p  $\leq 0.05$ ) differences between second and third row.



• Quantitative Results

				FLAIR synthesis		
				DT	DC	DE
real(3) sequences				83.27	73.91	71.07
real(3)+synthesized sequences				84.56	76.72	72.89
real(3)+synthesized+uncertainty				85.84*	79.25*	74.51*

(\*) indicates statistically significant (p  $\leq 0.05$ ) differences between second and third row.



• Quantitative Results

	T1ce synthesis			FLAIR synthesis		
	DT	DC	DE	DT	DC	DE
real(3) sequences	87.17	50.25	26.89	83.27	73.91	71.07
real(3)+synthesized sequences	86.72	52.80	27.35	84.56	76.72	72.89
real(3)+synthesized+uncertainty	88.20	57.29*	32.86*	85.84*	79.25*	74.51*

(\*) indicates statistically significant (p  $\leq 0.05$ ) differences between second and third row.



• Qualitative Results

Enhancing Tumour

Edema

Non Enhancing Core



### Conclusion

- Proposed **a general deep learning framework** for the propagation of uncertainty across a sequence of inference tasks within a medical image analysis pipeline for improved inference
- Evaluation on two different contexts of MS T2 lesion segmentation/detection and Brain Tumour segmentation
- **2-10% improvement** for both tasks on their respected **quantitative** measures
- Clearly visible qualitative improvement
- Future work will explore how to properly develop a complete end-to-end system that includes uncertainty propagation across the inference modules











# PROGRESSIVE MS ALLIANCE

**CONNECT** TO END PROGRESSIVE MS



#### • Implementation Details

- Task-1 Network: BU-Net<sup>4</sup>
- Task-1 Network uncertainty: Variance of 10 MC samples <sup>5</sup>
- Task-2 Network: 3D U-Net<sup>15</sup>
  - 3 resolution U-Net
  - Linear Upsampling
  - Leaky-ReLU non-linear activation <sup>16</sup>
  - Group Normalization <sup>17</sup>
  - Equally weighted Sorensen-Dice loss <sup>18</sup> and binary cross-entropy loss
- $\circ$  18 connected component to convert segmentation to detection

- <sup>15</sup> Cicek et al., "3D U-Net: learning dense volumetric segmentation from sparse annotation.", MICCAI 2016.
- <sup>16</sup> Maas et al., "Rectifier nonlinearities improve neural network acoustic models.", ICML 2013.
- <sup>17</sup> Wu and He., "Group normalization.", In ECCV 2018.
- <sup>18</sup> Milletari et al., "V-net: Fully convolutional neural networks for volumetric medical image segmentation.", 3DV 2016



## **Brain Tumour Segmentation**

#### • Implementation Details

- Task-1 Network: RS-Net <sup>10</sup>
- Task-1 Network uncertainty: Variance of 20 MC samples <sup>5</sup>
- Task-2 Network: 3D U-Net<sup>15</sup>
  - 4 resolution U-Net
  - Deconvolution <sup>19</sup>
  - ReLU non-linear activation <sup>20</sup>
  - Instance Normalization<sup>21</sup>
  - Weighted categorical cross-entropy loss

<sup>10</sup> Mehta et al., "RS-Net: Regression-Segmentation 3D CNN for Synthesis of Full Resolution Missing Brain MRI in the Presence of Tumours.", SASHIMI 2018
<sup>5</sup> Gal and Ghahramani, "Dropout as a bayesian approximation: Representing model uncertainty in deep learning.", ICML 2016.

- <sup>15</sup> Cicek et al., "3D U-Net: learning dense volumetric segmentation from sparse annotation.", MICCAI 2016.
- <sup>19</sup> Zeiler et al., "Deconvolutional networks.", CVPR 2010
- <sup>20</sup> Glorot et al., "Deep sparse rectifier neural networks", AISTATS 2011
- <sup>21</sup> Ulyanov et al., "Instance normalization: The missing ingredient for fast stylization.", arXiv preprint arXiv:1607.08022.